

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup> :</b> C12N 15/00, 1/20, C12P 21/06 C07H 21/04	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 93/15191</b> <b>(43) International Publication Date:</b> 5 August 1993 (05.08.93)
<b>(21) International Application Number:</b> PCT/US93/00108 <b>(22) International Filing Date:</b> 8 January 1993 (08.01.93)  <b>(30) Priority data:</b> 07/825,267 24 January 1992 (24.01.92) US 07/862,188 2 April 1992 (02.04.92) US  <b>(71) Applicant:</b> LIFE TECHNOLOGIES, INC. [US/US]; 8717 Grovemont Circle, Gaithersburg, MD 20898 (US). <b>(72) Inventors:</b> BEBEE, Robert, L. ; 442 N Summitt, #003, Gaithersburg, MD 20898 (US). HARTLEY, James, L. ; 7409 Hillside Drive, Frederick, MD 21701 (US). <b>(74) Agents:</b> AUERBACH, Jeffrey, I. et al.; Weil, Gotshal & Manges, 1615 L Street, N.W., Washington, DC 20036 (US).		<b>(81) Designated States:</b> CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> MODULATION OF ENZYME ACTIVITIES IN THE <i>IN VIVO</i> CLONING OF DNA  <b>(57) Abstract</b>  Methods and recombinant vectors suitable for accomplishing the <i>in vivo</i> alteration of a nucleic acid molecule are disclosed. The invention in particular discloses the use of recombinases such as Cre to accomplish <i>in vivo</i> recombination.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	MR	Mauritania
AU	Australia	GA	Gabon	MW	Malawi
BB	Barbados	GB	United Kingdom	NL	Netherlands
BE	Belgium	GN	Guinea	NO	Norway
BF	Burkina Faso	GR	Greece	NZ	New Zealand
BG	Bulgaria	HU	Hungary	PL	Poland
BJ	Benin	IE	Ireland	PT	Portugal
BR	Brazil	IT	Italy	RO	Romania
CA	Canada	JP	Japan	RU	Russian Federation

CS	Czechoslovakia	SK	Slovakia	TG	Togo
CZ	Czech Republic	LU	Luxembourg	UA	Ukraine
DE	Germany	MC	Monaco	US	United States of America
DK	Denmark	MG	Madagascar	VN	Viet Nam
ES	Spain	ML	Mali		
		MT	Malta		

**FIELD OF THE INVENTION:**

**CROSS-REFERENCE TO RELATED APPLICATIONS:**

### BACKGROUND OF THE INVENTION:

defined. Any such DNA molecule can then be joined to a suitably cleaved vector molecule (i.e. a nucleic acid

-2-

molecule, typically double-stranded DNA, having specialized sequences which permit it to be replicated in a suitable host cell) through the action of a DNA ligase. The gene sequence may then be duplicated indefinitely by propagating the vector in a suitable host. Methods for performing such manipulations are well-known (see, for example, Perbal, B. A Practical Guide to Molecular Cloning, John Wiley & Sons, NY, (1984), pp. 208-216; Maniatis, T., et al. (In: Molecular Cloning, A Laboratory Manual, Cold Spring Harbor Press, Cold Spring Harbor, NY (1982); Old, R.W. et al., In: Principles of Gene Manipulation, 2nd. Ed., University of California Press, Los Angeles, (1981), all herein incorporated by reference).

Depending upon the size and characteristics of the desired target molecule, any one of the three different types of vectors -- plasmids, bacteriophage, or cosmids -- can be employed (See, generally, Watson, J.D., In: Molecular Biology of the Gene, 4th Ed., W.A. Benjamin, Inc., Menlo Park, CA (1987), which reference is incorporated herein by reference).

The use of plasmids in cloning is quite well known (see, for example, Cohen et al., U.S. Patent 4,237,224; Itakura, U.S. Patent 4,356,270; Fraley, R.T. et al., PCT Application WO 84/02919; etc.). In general, the most pronounced deficiency of plasmid vectors is the relatively small amount (up to about 5-10 kb) of DNA which can be cloned into them. In general, the larger the size of the target molecule, the lower the efficiency of plasmid transformation.

Bacteriophage vectors, and particularly vectors engineered from the bacteriophage  $\lambda$  have been extensively used. See, for example, Lambda, Hershey, et al., Cold Spring Harbor Press, Cold Spring Harbor, NY (1971), and Lambda II, (Hendrix, R. et al., Eds.), Cold Spring Harbor Press, Cold Spring Harbor, NY, pp. 175-202 (1983). Maniatis, T., et al. (In:

-3-

Molecular Cloning, A Laboratory Manual, Cold Spring Harbor Press, Cold Spring Harbor, NY (1982), all herein incorporated by reference). In general, bacteriophage vectors have the advantage that they can be used to clone gene sequences of up to approximately 23 kb.

5 Cosmids are vectors which have been specifically designed to facilitate the cloning of large DNA molecules. The essential components of a cosmid vector are (1) a drug-resistance marker; (2) a plasmid origin of replication; (3) one or more unique cloning sites that are  
10 recognized by a restriction endonuclease; (4) a cog site of bacteriophage  $\lambda$ . Cosmid cloning methods are described, for example, by Maniatis, T., et al. (In: Molecular Cloning, A Laboratory Manual, Cold Spring Harbor Press,  
15 Cold Spring Harbor, NY (1982)). Cosmids vectors can be used to clone 40-50 kb of target molecule. They are thus highly useful in the cloning and analysis of eukaryotic DNA, and especially mammalian genomes. Despite the ease with which in vitro cloning manipulations are often  
20 accomplished, serious impediments often limit their application in specific instances.

In vitro cloning may result in genetic rearrangements, deletions or insertions occurring in the desired gene sequence. It often requires the substantial  
25 prior purification of gene fragments. Such purification may be impeded if the desired gene fragment does not amplify at the same rate, or with the same fidelity, as other fragments. These concerns are particularly important with regard to the cloning of large DNA  
30 fragments, such as those containing human genes and gene families.

Moreover, the capacity to move target molecules from

35 example, in order to complete a desired cloning manipulation, it may be necessary to remove a particular enzyme that was needed in an initial cloning step. Such

-4-

removal would necessitate a time-consuming purification of the cloning intermediate. Thus, a method for effecting the transfer of a target molecule from one vector to another that would not require such intermediate processing would be desirable. The present invention provides such a method and vector molecules for use therein.

#### SUMMARY OF THE INVENTION:

The invention relates to a method for the in vivo manipulation of a cloned target molecule. The method uses a vector molecule that is capable of expressing an activity that acts on a different nucleic acid molecule in order to facilitate the cloning or manipulation of the target molecule.

In detail, the invention provides a method for accomplishing in vivo alteration of a target molecule in a host cell which comprises the steps:

a) providing to the host cell a first vector, the vector containing (i) a preselected gene and (ii) a replicon, wherein the preselected gene is capable of being expressed in the host cell, and the replicon is sufficient to permit the replication of the vector;

b) providing to the host cell a second vector, the vector containing (i) the target molecule which is to be altered, (ii) a replicon, and (iii) a determinant that has the capacity to inhibit the expression of the preselected gene of the first vector;

c) culturing the host cell under conditions sufficient to permit the expression of the preselected gene, to thereby mediate the desired alteration of the

expression of the preselected gene.

The invention also includes a host cell containing a first and a second vector, wherein the first vector

-5-

contains (i) a preselected gene and (ii) a replicon, wherein the preselected gene is capable of being expressed in the host cell, and the replicon is sufficient to permit the replication of the vector; and

5 wherein the second vector contains (i) a target molecule, and (ii) a replicon, and a determinant that has the capacity to inhibit the expression of the preselected gene of the first vector.

The invention also provides a kit, being specially adapted to contain in close compartmentalization:

a) a first container which contains a first vector which contains a replicon and a preselected gene, the vector being capable of expressing the preselected gene in a host cell;

15 b) a second container, the container containing a second vector, the vector having a determinant that has the capacity to inhibit the expression of the preselected gene of the first vector, and being specially adapted to facilitate the introduction of a desired target molecule.

20 In particular, the invention includes the embodiments of the above method and host cell and kit wherein the determinant of the second vector inhibits the expression of the preselected gene of the first vector by expressing a gene present on the second vector, wherein the expressed gene of the second vector effects the excision of the preselected gene from the first vector, and wherein the determinant of the second vector inhibits the expression of the preselected gene of the first vector by comprising an incompatibility determinant sufficient to inhibit the replication of the first vector, and thereby causing the loss of the vector from the host cell.

25 The invention particularly includes the embodiment (especially the cre gene), and the target molecule possesses at least one site recognized by the recombinase (such as loxP).

**DESCRIPTION OF THE PREFERRED EMBODIMENTS:**

The present invention provides a method for achieving the in vivo cloning or manipulation of a target nucleic acid molecule. In a preferred embodiment, the method  
5 employs a vector, typically a plasmid, that contains, and is capable of expressing, a preselected gene whose expression product has an enzymatic activity that is desired in order to effect the cloning or manipulation of the target molecule. The vector is present in a cell,  
10 designated herein as the "host" cell. Any prokaryotic or eukaryotic cell may be used as the host cell of the present invention. The preferred prokaryotic host cell is E. coli. Yeast and mammalian cells comprise examples of preferred eukaryotic host cells.

15 The target molecule can be introduced into the host cell by electroporation, transfection, transformation, fusion, or any other suitable means. Once present within the host cell, the target sequence is acted upon by the enzymatic activity that results from the expression of the  
20 preselected gene. The vector (or other molecule) that contains the preselected gene is preferably resident in the host cell prior to the introduction of the target molecule. However, this is not a requirement of the invention, and the nucleic acid molecules that contain the  
25 target molecule and the preselected gene can be introduced simultaneously, or in any order.

**I. The Preselected Gene**

The present invention employs a preselected gene that is capable of being expressed in the host cell. The gene

replicating nucleic acid fragment (DNA or RNA) (i.e. it need not be a vector).



The expression of the preselected gene will result in the production of a protein that has the ability to interact with the target molecule to thereby alter the structure or characteristic of the target. For example, the preselected gene may encode a ligase, a restriction endonuclease (such as NotI, NdeI or NcoI), a recombinase, a reverse transcriptase, a methylase, etc. The nucleic acid molecule that contains the preselected gene may be constructed such that it contains and expresses only one such preselected gene, or any combination of such genes (such as two or more different methylases, a recombinase and a reverse transcriptase, etc.).

When the preselected gene is a ligase gene, the present invention can be used to mediate the ligation of linear target sequences into a linearized vector. Such linearized molecules may be introduced into the host cell via transformation, electroporation, etc. Thus, such preselected genes are desirable in facilitating the cloning of target fragments.

When the preselected gene is a restriction enzyme gene, the present invention can be used to mediate the site-specific, in vivo cleavage of the target molecule. Thus, such preselected genes are desirable in facilitating the purification or sub-cloning of target fragments.

When the preselected gene is a methylase gene, the present invention can mediate the site-specific, in vivo modification of the target molecule so as to render it resistant to restriction endonuclease cleavage. Thus, such preselected genes are desirable in facilitating the cloning of intact target molecules.

When the preselected gene is a reverse transcriptase gene, the present invention can be used to accomplish the

be a recombinase gene. The product expressed by such a gene -- a "recombinase" -- is an enzymatic activity that is capable of recombining two nucleic acid molecules.

-8-

Thus, such preselected genes can be used to mediate the transfer of a linear target molecule into a vector molecule, or the transfer of the target molecule from one vector to another. Significantly, such transfer is not dependent upon the presence of restriction sites at the termini of the target sequence. Likewise, such transfer is not precluded by the presence of restriction sites within the target sequence. All that is required is that the target sequence be flanked by sites recognized by the recombinase. Where the target molecule is a linear molecule, such sites may be naturally present at the termini, but more commonly will be added to the target sequence via ligation or primer extension. Where the target sequence is a circular molecule, such sites can be introduced into molecule via restriction enzymes, homologous recombination, or site-specific recombination.

Two classes of recombinases are known. A "general recombinase" is an enzymatic activity that is capable of participating in a process which results in the scission of two nucleic acid molecules having identical or substantially similar sequences (i.e. "homologous"), and the ligation of the two molecules such that one region of each initially present molecule becomes ligated to a region of the other initially present molecule (Sedivy, J.M., Bio-Technol. 6:1192-1196 (1988), which reference is incorporated herein by reference). The most characterized general recombination system is that of the bacteria E. coli (Watson, J.D., In: Molecular Biology of the Gene, 4th Ed., W.A. Benjamin, Inc., Menlo Park, CA (1987); Smith, G.R., In: Lambda II, (Hendrix, R. et al., Eds.), Cold Spring Harbor Press, Cold Spring Harbor, NY, pp. 175-209 (1983)). The E. coli system involves the protein,

regions of homology.

In the most preferred embodiment of the present invention, the preselected gene will encode a "site-

-9-

specific recombinase." In contrast to a general recombinase, "site-specific recombinase" can recognize only certain defined sequences as substrates for recombination. The more preferred of the site-specific recombinases are the Int, Int/Xis, and Cre recombinases. The Cre recombinase of bacteriophage P1 is the most preferred site-specific recombinase. The Cre recombinase of P1 is discussed by Sternberg, N. et al. (J. Molec. Biol. 187:197-212 (1986)), herein incorporated by reference.

The term "Cre" recombinase, as used herein, refers to a protein having an activity that is substantially similar to the site-specific recombinase activity of the Cre protein of bacteriophage P1 (Hamilton, D.L., et al., J. Mol. Biol. 178:481-486 (1984), herein incorporated by reference). The Cre protein of bacteriophage P1 mediates site-specific recombination between specialized sequences, known as "loxP" sequences:

(SEQ ID NO:1) 5' ATAACCTTCGTATAATGTATGCTATACGAAGTTAT 3'

(SEQ ID NO:2) 5' ATAACCTTCGTATAGCATACATTATACGAAGTTAT 3'

The loxP site has been shown to consist of a double-stranded 34 bp sequence (SEQ ID NOS: 1 and 2). This sequence contains two 13 bp inverted repeat sequences which are separated from one another by an 8 bp spacer region (Hoess, R., et al., Proc. Natl. Acad. Sci. (U.S.A.) 79:3398-3402 (1982); Sauer, B.L., U.S. Patent No. 4,959,317, herein incorporated by reference).

Cre has been purified to homogeneity, and its reaction with the loxP site has been extensively characterized (Abremski, K., et al., J. Mol. Biol. 259:1509-1514 (1984), herein incorporated by reference). Cre protein has a molecular weight of 35,000. Cre protein

protein, has been cloned and expressed (Abremski, K., et al., Cell 32:1301-1311 (1983), herein incorporated by reference).

-10-

The Cre protein mediates recombination between two loxP sequences (Sternberg, N., et al., Cold Spring Harbor Symp. Quant. Biol. 45:297-309 (1981)). These sequences may be present on the same DNA molecule, or they may be present on different molecules. Because the internal spacer sequence of the loxP site is asymmetrical, two loxP sites can exhibit directionality relative to one another (Hoess, R.H., et al., Proc. Natl. Acad. Sci. (U.S.A.) 81:1026-1029 (1984)). Thus, when two sites on the same DNA molecule are in a directly repeated orientation, Cre will excise the DNA between the sites (Abremski, K., et al., Cell 32:1301-1311 (1983)). However, if the sites are inverted with respect to each other, the DNA between them is not excised after recombination but is simply inverted. Thus, a circular DNA molecule having two loxP sites in direct orientation will recombine to produce two smaller circles, whereas circular molecules having two loxP sites in an inverted orientation simply invert the DNA sequences flanked by the loxP sites.

Two circular molecules each having a single loxP site will recombine to form a mixture of monomer, dimer, trimer, etc. circles. Higher concentrations of circles favor higher n-mers; lower concentrations of circles favor monomers.

A circular DNA molecule having a single loxP site will recombine with a linear loxP-containing DNA molecule to produce a larger linear molecule. The converse reaction of a linear molecule with direct repeats of loxP sites is thus the production of a circle containing the sequences between the loxP sites, and remaining linear. However, if the loxP sites are inverted repeats, recombination flips the sequence between the loxP sites

linearization of an appropriate circularized molecule.

In one embodiment, the Cre protein may be the expression product of a mutated cre gene, and may interact

-11-

with mutated loxP sites. Suitable mutations have been produced both in Cre, and in the loxP site. The Cre mutants thus far identified have been found to catalyze recombination at a much slower rate than that of the wild-type Cre protein. loxP mutants (such as LoxP511) have been identified which recombine at lower efficiency than the wild-type site (Abremski, K., et al., J. Biol. Chem. 261:391-396 (1986); Abremski, K., et al., J. Mol. Biol. 202:59-66 (1988), herein incorporated by reference).

10 It has been found that certain E. coli enzymes inhibit efficient circularization of linear molecules which contain two loxP sites. Hence, enhanced circularization efficiency can be obtained through the use of E. coli mutants which lack exonuclease V activity  
15 (Sauer, B., et al., Gene 70:331-341 (1988)).

Cre has been able to mediate loxP specific recombination in Saccharomyces cerevisiae (Sauer, B., Molec. Cell. Biol. 7:2087-2096 (1987); Sauer, B.L., U.S. Patent No. 4,959,317, herein incorporated by reference),  
20 and in mammalian cells (Sauer, B., et al., Proc. Natl. Acad. Sci. (U.S.A.) 85:5166-5170 (1988), Sauer, B., et al., Nucleic Acids Res. 17:147-161 (1989), both references herein incorporated by reference). Similarly, the recombination system has been capable of catalyzing  
25 recombination in plant cells (Dale, E.C., et al., Gene 91:79-85 (1990)).

A large number of alternative suitable site-specific recombinases have been described, and their genes can be used in accordance with the method of the present  
30 invention. Such recombinases include the Int recombinase of bacteriophage  $\lambda$  (with or without Xis) (Weisberg, R. et al., In: Lambda II, (Hendrix, R. et al., Eds.), Cold

Springer, New York, 1987), phi807 (Levesque, R.C., J. Bacteriol. 172:3745-3757 (1990)); the Tn3 resolvase (Flanagan, P.M. et al., J. Molec. Biol. 206:295-304 (1989); Stark, W.M. et

-12-

al., Cell 58:779-790 (1989)); the yeast recombinases (Matsuzaki, H. et al., J. Bacteriol. 172:610-618 (1990)); the B. subtilis SpoIVC recombinase (Sato, T. et al., J. Bacteriol. 172:1092-1098 (1990)); the Flp recombinase (Schwartz, C.J. et al., J. Molec. Biol. 205:647-658 (1989); Parsons, R.L. et al., J. Biol. Chem. 265:4527-4533 (1990); Golic, K.G. et al., Cell 59:499-509 (1989); Amin, A.A. et al., J. Molec. Biol. 214:55-72 (1990)); the Hin recombinase (Glasgow, A.C. et al., J. Biol. Chem. 264:10072-10082 (1989)); immunoglobulin recombinases (Malynn, B.A. et al., Cell 54:453-460 (1988)); and the Cin recombinase (Hafter, P. et al., EMBO J. 7:3991-3996 (1988); Hubner, P. et al., J. Molec. Biol. 205:493-500 (1989)), all herein incorporated by reference.

Such systems are discussed by Echols, H. (J. Biol. Chem. 265:14697-14700 (1990)), de Villartay, J.P. (Nature 335:170-174 (1988); Craig, N.L. (Ann. Rev. Genet. 22:77-105 (1988)), Poyart-Salmeron, C. et al. (EMBO J. 8:2425-2433 (1989)), Hunger-Bertling, K. et al. (Molec. Cell. Biochem. 92:107-116 (1990)), and Cregg, J.M. (Molec. Gen. Genet. 219:320-323 (1989)), all herein incorporated by reference.

Cre is the preferred recombinase of the present invention because its site-specific recombinase activity is dependent only upon the presence of the loxP site and Cre. No energy is needed for this reaction; thus, there is no requirement for ATP or other similar high energy molecules. Moreover, no factors or proteins other than the Cre protein is required in order to mediate sites specific recombination at loxP sites (Abremski, K., et al., J. Mol. Biol. Chem. 259:1509-1514 (1984); Hoess, R P et al., Cold Spring Harbor Symp. Quant. Biol.

sites to mediate gene cloning is discussed by Palazzolo, M.J. et al. (Gene 88:25-36 (1990)), and Elledge, S.J. et al. (Proc. Natl. Acad. Sci. (U.S.A.) 88:1731-1735 (1991)).

-13-

Site-specific cleavage (but without a recombinase) is used in the " $\lambda$ ZAP" gene cloning system of Stratagene (San Diego, CA).

5 II. The Modulation of the Expression of the Preselected Gene

Because many preselected genes encode proteins whose activities, like that of the Cre protein, are capable of catalyzing forward and reverse reactions (under normal host cell culturing conditions), it is desirable to be  
10 able to modulate the expression of the preselected gene, such that after a period sufficient to permit the expression of the gene has transpired, further expression will be limited, or will not occur.

A nucleic acid molecule that encodes a recombinase, such as Cre, may be used to recombine a target molecule having loxP sites into a suitable loxP site-containing  
15 vector. Similarly, it may be employed to remove a target molecule from such a vector.

A. Reversible Modulation

20 In one embodiment of the present invention, the nucleic acid molecule that contains the preselected gene is constructed such that the expression of the preselected gene can be reversibly modulated. As used herein, the term "reversibly modulated" is intended to denote that the  
25 expression of the gene can be repeatedly turned on and off. Such modulation may be qualitative (i.e. in which the preselected gene is either fully expressed, or fully repressed) or quantitative (in which intermediate levels

molecules that are vectors and hence capable autonomous replication within the host cell.

-14-

Suitable modulation can be obtained by expressing the preselected gene using inducible/de-repressible promoters and their respective inducers/de-repressors (for example, plac with IPTG, ptrp with IAA,  $\lambda$  pL with a  $\lambda$  temperature sensitive cI repressor, precA (or  $\lambda$  pL with a normal  $\lambda$  cI repressor) with UV light or mitomycin C, etc.).

Alternatively, the preselected gene can be mutated such that its product is conditionally active. Examples of such mutations include temperature sensitive mutations and "amber" mutations (when the host cell has a temperature sensitive amber suppressor), etc.

Where the preselected gene is naturally expressed on a bacteriophage, it is possible to employ the bacteriophage directly. Thus, for example, Cre can be provided to cells by infecting them with bacteriophage P1, the natural source of Cre. Desirably, a P1 mutant (such as a P1 which is deficient in replication, lysis, maturation, etc.) is employed to prevent cell death.

#### B. Irreversible Modulation

In a preferred embodiment, the vector is constructed such that modulation of the preselected gene is irreversible. As used herein, the term "irreversible modulation" is intended to denote that once the expression of the gene has been turned off, it cannot be re-expressed. Thus, cessation of the expression of the preselected gene is permanent, and irreversible.

Such irreversible modulation is desirable when the preselected gene is a restriction endonuclease gene or a ligase. Irreversible modulation is particularly desirable in those cases wherein the preselected gene is capable of

reaction product back into the original target molecule. Such modulation is therefore especially desirable in the



-15-

case of a preselected gene that encodes a recombinase such as Cre.

Irreversible modulation can be achieved in any of a variety of ways. The preselected gene can be introduced into a host cell as a non-vector molecule. Such a molecule may, for example, be a linear or circular molecule, a molecule lacking an origin of replication, or a molecule that does not possess an origin of replication that is recognized by the replicative apparatus of the host cell, or a molecule that is not properly partitioned upon cell division. When such a molecule is introduced into the host cell, its preselected gene will be expressed. However, due to the inability of the cell to replicate the preselected gene, such expression will be transient, and will ultimately cease as the cell divides and loses the nucleic acid molecule that contains the preselected gene. Since such molecules are incapable of replication, they are ultimately degraded by nucleases or other cellular processes.

In another embodiment, irreversible modulation is achieved through the use of vectors (carrying the preselected gene) that are conditionally incapable of replication or partition in the host cell. Such vectors may contain, for example, temperature sensitive replicons or temperature sensitive partition proteins (such as parA or parB of P1, sopA or sopB of P1, etc.). In this embodiment, the host cell is incubated in the presence of the target molecule and the vector under a replication permissive temperature. After the desired expression of the preselected gene has occurred, the temperature is adjusted to a non-permissive temperature such that additional replication of the vector is impaired.

"negative" selectable marker gene, such that the expression of the marker gene imparts a conditionally selectable disadvantage to the host cell. In this

-16-

embodiment, the host cell is incubated in the presence of the target molecule and the vector under permissive conditions. After the desired expression of the preselected gene has occurred, the culturing conditions are adjusted such that continued maintenance of the vector is selected against.

In a more preferred embodiment, the host cell will be constructed such that it contains a "determinant" that has the capacity to inhibit the expression of the preselected gene. As used herein, a "determinant" can be either a site or regulatory element, or an expressible gene. The determinant may be present on the nucleic acid molecule that contains the target molecule, on the nucleic acid molecule that contains the preselected gene, or on any other nucleic acid molecule (including the cell's chromosome)).

For example, the preselected gene may be present in the vector in combination with recombinogenic or cleavable sites, such that the expression of the preselected gene results in the excision of the gene from the vector, and the ultimate loss of the gene from the cell. Most preferably, the preselected gene and the recombinogenic sites will be selected such that the excision reaction is irreversible. This can be accomplished, for example by using the  $\lambda$ int gene (encoding the Int recombinase) as the preselected gene, and flanking this gene with an attP and attB site. Expression of Int will lead to the excision of the  $\lambda$ int gene from the vector, and the conversion of the attP and attB sites into attL and attR sites. Since Int is effectively incapable of mediating recombination at attL and attR sites (in the absence of the  $\lambda$  Xis protein), it is unable to catalyze the reinsertion of the  $\lambda$ int gene

expression.

In a similar manner, vectors containing other preselected genes such as the cre gene, can be employed.

-17-

For example, the host cell can be constructed to contain and express the λint gene (the gene may be present on the target molecule, the nucleic acid molecule that contains the preselected gene, or any other nucleic acid molecule (including the cell's chromosome)), and the desired preselected gene (for example, cre) can be present on a nucleic acid molecule flanked by the attP and attB sites, as described above. In lieu of the λint gene, other recombinases (such as mutant Cre proteins) or other recombinogenic sites such as loxP511 can be employed.

Most preferably, irreversible modulation of the expression of the preselected gene will be accomplished through the use of vector molecules having incompatible replicons or incompatible partition requirements (Abeles, A., J. Biol. Chem. 261:3548-3555 (1986); Austin, S. et al., Cell 60:351-354 (1990); Abeles, A. et al., Proc. Natl. Acad. Sci. (U.S.A.) 88:9011-9015 (1991), all herein incorporated by reference).

Preferably, incompatibility is mediated using the P1 replicon (which controls P1 copy number in cells). The P1 replicon consists of an origin sequence (P1 ori), an essential replication protein (RepA) and dispensable regulatory elements known as inCA and incC. Naturally, the inCA element contains 9 copies of a DNA sequence motif that binds RepA protein. Hence, by increasing the number of RepA binding sites present in a cell, it is possible to attenuate or inhibit the replication of P1 or any vector that is replicated using the P1 replicon (Abeles, A., J. Biol. Chem. 261:3548-3555 (1986)).

Accordingly, the preselected gene is introduced into the host cell on a vector that has a P1 ori, and a repA gene. The vector may additionally contain one or more

naturally associated with the P1 replicon. The absence of the inCA sites serves to enhance the copy number of the vector (to about 10 copies per cell).

-18-

In this embodiment, the target molecule is adapted to contain an incompatibility determinant comprising part or all of the inca element (preferably comprising at least two, and more preferably three RepA binding sites), such that the introduction of the target molecule into a host cell (containing the P1 ori vector) will prevent the vector from replicating. Preferably, the target molecule will be present in a suitable cloning site of a vector. Desirably, this vector will have a replicon that results in a high copy number per cell. The pUC replicon (Yanisch-Perron, C. et al., Gene 33:103 (1985)) is preferred for this purpose.

Cre protein (or nucleic acid encoding the Cre protein) can also be provided to cells via the introduction of capsules, liposomes, empty bacteriophage particles, etc. Such introduction can be accomplished by electroporation, micro-injection, infection, etc.

The present invention includes articles of manufacture, such as "kits." Such kits will, typically, be specially adapted to contain in close compartmentalization a first container which contains a first vector which contains, and is capable of expressing a preselected gene, especially, the cre recombinase. The first vector will additionally contain a replicon (preferably a P1 replicon), such that the replication of the first vector can be inhibited or prevented by the presence of an incompatibility determinant. The incompatibility determinant is contained on a second vector molecule present in a second container of said kit. The second vector molecule is adapted (as by possessing restriction endonuclease cleavable cloning sites) to receive a desired target nucleic acid molecule.

binding sites naturally present in the P1 replicon, and will therefore have an enhanced copy number (of about 10 copies per cell). Preferably, the second vector molecule

-19-

will be a high copy number plasmid (most preferably a plasmid having a pUC replicon). The kit may additionally contain instructional brochures, and the like. It may also contain reagents sufficient to accomplish DNA cloning (such as restriction endonucleases, ligases, buffers and the like).

Having now generally described the invention, the same will be more readily understood through reference to the following examples which are provided by way of illustration, and are not intended to be limiting of the present invention, unless specified.

#### EXAMPLE 1

##### CONSTRUCTION OF A PREFERRED CRE EXPRESSION PLASMID: pZIP

A preferred Cre expression plasmid was made by ligating together 3 PCR products each of which contained a separate function. The three fragments are (1) the P1 ori, (2) the cre gene, and (3) a kanamycin resistance determinant, Kan<sup>R</sup> gene. The sources of these fragments were as follows. Added restriction sites are underlined. All P1 replicon coordinates are taken from Abeles, A. (J. Biol. Chem. 261:3548-3555 (1986)); all cre gene coordinates are taken from Sternberg, N. et al. (J. Molec. Biol. 187:197-212 (1986)), both herein incorporated by reference.

##### 25 P1 ori:

To obtain a fragment that contains the P1 ori, PCR amplification of the P1 origin was performed. For such amplification, a left and right primer pair was used. The left primer was about 70 bp upstream of

CGAAGAGGTACTGCAGGGGCGATGAGCTTAAATGC

-20-

The right primer was at very end of repA gene (coordinate 1501-1524) and has XbaI in 5'-end (oligo #924) (SEQ ID NO:4):

#924: 5' GATCCTCTAGATTATTTCGGGGAGTTTCAGCTTTGG 3'

5

The primers were used with P1 vir phage as target, and the PCR yielded a 1261 bp product.

#### Cre gene:

10

The cre gene of the vector was obtained through PCR using a set of a left and a right primer pair. The right primer corresponded to coordinate 1465-1484 + PstI site (oligo #793) (SEQ ID NO:5):

#793: 5' CGAAGAGGTACTGCAGCAATCATTTACGCGTTAATGGC

3'

15

The left primer corresponded to coordinate 380-399 (oligo #925) + XbaI site (SEQ ID NO:6):

#925: 5' GATCCTCTAGATAAAGGCAGAGCCGATCCTG 3'

The amplification yielded an 1134 bp product, that included a single cre promoter.

20

The primers were used against a P1/λ (P1/lambda) hybrid phage (Sternberg, N., J. Molec. Biol. 150:603-609 (1981)).

#### Kan<sup>R</sup> gene:

25

In order to obtain the kanamycin resistance determinant, oligonucleotides which flank the multiple cloning site of pUC4K (Pharmacia) were used to give a PCR product of about 1400 bp with flanking PstI sites. The PstI sites are not in the primers - they are in the amplified sequence in the multiple cloning site (MCS). The primers

5' CCATAACTTCGTATAATGTATGCTATACG-  
AAGTTATGGAAACAGCTATGACCATGAT 3'

-21-

and #791 (SEQ ID NO: 8):

5' CCATAACTTCGTATAGCATACATTATACG-  
AAGTTATGTCACGACGTTGTAAAACGAC 3'

5 After PCR, reactions were digested with the following  
restriction endonucleases:

- P1 ori fragment: PstI and XbaI,
- cre gene : PstI and XbaI, and
- kan<sup>R</sup> gene : PstI.

10 The resulting fragments were gel purified, mixed, and  
ligated. The resulting product was transformed into E.  
coli DH10B then selected for resistance to kanamycin  
(Kan<sup>R</sup>). The cre gene-containing plasmid was designated  
pZIP. Colonies harboring "pZIP" showed Cre activity by *in*  
*vitro* assay of crude cell sonicates.

15

#### EXAMPLE 2

#### CONSTRUCTION OF A PREFERRED VECTOR FOR CONTAINING THE TARGET MOLECULE: λZipLox

20 Vectors derived from bacteriophage λ often are used  
for the construction of cDNA libraries, especially when  
target clones will be identified by immunological  
screening procedures (Huynh, T.V., In DNA Cloning: A  
Practical Approach (Vol. 1) (D. M. Glover, ed.) IRL Press  
Limited, Oxford, England, p. 49 (1985)). However, once a  
clone is identified, the next step is usually to subclone  
25 the cDNA into a plasmid vector to facilitate propagation,  
characterization, and manipulation of the DNA.

The use of the methods of the present invention  
permit the automatic in vivo subcloning of DNA molecules  
from λ vectors by exploiting the highly site-specific

vector for containing the target molecule.

-22-

$\lambda$ ZipLox is prepared by incorporating a plasmid, designated pZL, into a lambda phage. In the presence of Cre, the pZL plasmid is excised from the vector.

Plasmid pZL is a 4.3 kb derivative of GIBCO BRL plasmid pSPORT1. Plasmid pZL was prepared by first using PCR to amplify that part of the inca region of the P1 regulon that containing repeats 1, 2, and 3. The PCR was performed against a P1 vir phage target using oligos #937 and #938 (coordinates 1939-1960 and 2043-2068, respectively, as designated in Genbank record PP1REP, Genbank release 63.0) as primers:

(SEQ ID NO: 9)

#937: 5' GCGGCCAACATGGTGGGCACACATATTTGATACCAGCGA 3'

(SEQ ID NO: 10)

#938: 5' GGCCAAGATCTTGGACTGGTGAGAACGGCTTGCCCGGCAG 3'

This inca fragment was then cloned into the BspHI site (coord. 3569) of vector pSPORT1 (Life Technologies, Gaithersburg, MD) to make the construct pSAX10. When this region was sequenced, three mutations were found which varied from the published sequence of inca: A instead of C at coordinate 1736, C instead of T at coordinate 1784, and A instead of G at coordinate 1819.

Next, a loxP site was cloned into the other BspHI site of the plasmid (coordinate 2561). This was accomplished by PCR amplifying the Kanamycin resistance gene of plasmid pUC4K (Pharmacia) with primers #790 and #791. The resulting product contained the kan<sup>R</sup> gene flanked by directly repeated lox sites. All other features of pSPORT1 are fully preserved in pZL.

This product was ligated to pSAX10 that had been cut with BspHI at coordinate 2561 and the ends made blunt. Kanamycin-resistant clones were then selected.

become Kanamycin sensitive were detected. One of these was shown to have a functional loxP site and was designated pZL.



-23-

λZipLox was constructed from ligation of a 23.1 kb HindIII fragment derived from the left arm λgt10, a 12.7 kb SalI fragment derived from the right arm of a deletion mutant of λgt11 [the 260 bp XhoI (position 33500) to SalI (position 33240) fragment removed; lambda coordinates are from Lambda II (Hendrix, R.W. et al., Eds.), Cold Spring Harbor Laboratory, Cold Spring Harbor, New York (1983)) and pZL linearized by Cre-mediated recombination with oligonucleotides to yield HindIII and SalI compatible termini.

λZipLox is approximately 40.1 kb long, with a predicted size limit for cDNA inserts of 10.1 kb based on a theoretical capacity of 50.2 kb. Following the conventions of Sambrook et al. (Sambrook, J. et al., In: Molecular Cloning: A Laboratory Manual, 2nd Ed., Cold Spring Harbor Laboratory, Cold Spring Harbor, New York (1989)), the genotype of λZipLox is λsrIλ1<sup>0</sup> shndIIIλ1<sup>0</sup> <loxP pMB1 ori lacI lacZ' T7 promoter-multiple cloning site-SP6 promoter lacZ' inca amp loxP> ssIλ1<sup>0</sup> sxIλ1<sup>0</sup> cIts857 srIλ4<sup>0</sup> nin5 srIλ5<sup>0</sup> Sam100. A simplified representation of λZipLox is "left arm of bacteriophage lambda - loxP site - plasmid pZL - loxP site - right arm of bacteriophage lambda".

λZipLox contains seven unique restriction sites for cloning cDNA within the multiple cloning site (EcoRI, SalI, SstI, SpeI, NotI, XbaI and SplI). DNA inserts cloned into the multiple cloning site of λZipLox reside within the inducible lacZ' gene commonly found in pUC-type plasmid vectors. When the lac promoter is induced with IPTG, the cloned gene is expressed as a fusion protein embedded within the amino-terminal portion of the β-galactosidase fragment encoded by lacZ'. Thus, λZipLox

The λZipLox system solves the troublesome problem associated with the Cre-mediated recombination-dependent automatic subcloning vector described by Palazzolo, M.J.

-24-

et al. (Gene 88:25 (1990)). They reported reduced yields of plasmids containing a LoxP site in cells expressing the Cre recombinase constitutively. The  $\lambda$ ZipLox system eliminates this problem by incorporating a biological switch into the  $\lambda$ ZipLox system that automatically reduces the level of Cre recombinase after PZL is excised from  $\lambda$ ZipLox: it uses the incompatibility between plasmids containing the P1 origin of replication and the P1 inca locus to mediate the elimination of the cre-producing plasmid from the cell.

Immediately upon entering the cell, plasmid PZL (and any DNA cloned into it) is excised and circularized from the  $\lambda$ ZipLox genome by Cre-loxP recombination. This process also yields an intact  $\lambda$  phage, however, by simply using a lambda lysogen as the host cell, the expression of the  $\lambda$  phage can be repressed (by the resident  $\lambda$  prophage). The preferred host for this purpose is a strain DH10BZIP. DH10BZIP is a derivative of E. coli DH10B that was made by lysogenization of DH10B (F- mcrA  $\Delta$ (mrr<sup>-</sup>hsdRMS-mcrBC)  $\phi$ 80dlacZAM15  $\Delta$ lacX74 endA1 recA1 deoR  $\Delta$ (ara,leu)7697 araD139 galU galK nupG rpsL) with  $\lambda$ NIH W82 ( $\lambda$ xis6 ind-), followed by transformation with pZIP. DH10BZIP expresses Cre recombinase constitutively, and is thus preferred for the automatic excision of PZL plasmids from clones isolated from  $\lambda$ ZipLox libraries. The introduction of  $\lambda$ ZipLox (or any vector containing inca sites) will irreversibly modulate Cre expression.

-25-

EXAMPLE 3  
LAMBDA ZIPLOX PROTOCOL

A. Ligation of CDNA to Lambda Ziplox

	Lambda Ziplox NotI-SalI arms	0.5 µg	0.5 µg
5	CDNA NotI-SalI ends	50 ng	---
	5X T4 DNA Ligase Buffer	1 µl	1 µl
	T4 DNA Ligase (1 unit/µl)	1 µl	1 µl
	autoclaved distilled water	to 5 µl	to 5 µl

10 1. Prepare two ligation mixes in two 1.5 ml autoclaved microcentrifuge tubes. One ligation mix does not have CDNA and will be the background.

2. Mix by pipetting up and down with pipettor. Minifuge briefly to collect contents at the bottom of the tube.

15 3. Incubate the ligation mixes at room temperature for 3 to 4 hours. Alternatively, the ligations can proceed overnight at 16°C.

B. Packaging of the Ligation Mix

20 1. Use Lambda Packaging Mix (Life Technologies, Inc., Gaithersburg, MD, USA; cat. 8294SA), or equivalent, according to manufacture's directions.

2. Remove one blue tube (Extract A, Y00123, Life Technologies, Inc.) and one yellow tube (Extract B, Y01141, Life Technologies, Inc.) for each packaging

prepared from E. coli BHB2690 (lambda Ø (am)), see, Hohn, B. et al., Proc. Natl. Acad. Sci. (U.S.A.) 74:3259 (1977). Place both tubes immediately on ice.

-26-

One packaging reaction should be the Control *Lambda* that comes with the packaging kit. It will serve as a control to see if the packaging works. Use 2  $\mu$ l (0.5  $\mu$ g) of the Control *Lambda*.

5           3. Thaw the extracts on ice. The contents should be collected at the bottom of the tube by briefly minifuging.

4. Transfer the entire ligation mix (5  $\mu$ l) to the blue tube as soon as the extracts have thawed.

10           5. Immediately transfer 15  $\mu$ l from the yellow tube into the blue tube and mix gently by stirring with the pipette tip and gently pipetting up and down. Do not vortex. Collect the contents at the bottom of the tube by briefly minifuging.

15           6. Incubate at room temperature for 2 hours.

7. Add 0.5 ml phage dilution buffer to the tube. Add 20  $\mu$ l of chloroform to the tube. Mix gently. Let chloroform settle for 10 minutes, or minifuge for 1 minute. Store packaged phage at 4°C.

20       C.   Plating of Packaged *Lambda*

1. Grow up 5 ml of the appropriate strain (Y1090R) in TYN containing 10 mM Mg, 0.2% maltose, 0.1 mg/ml ampicillin at 37°C. Use 0.5 A590/ml as the concentration of cells to use to plate. They can be

25           diluted in phage dilution buffer.

$\mu$ l of the diluted cells (Y1090R) in a sterile 13X100 glass tube or a sterile Falcon 2059 tube. Incubate at 37°C for 15-20 minutes.

-27-

3. Add 3 ml molten (50°C) TYN top agar containing 10 mM Mg, 0.1 mg/ml ampicillin, and pour onto 100X15mm TYN plates.

5

4. When top agar is set (5 minutes), invert the plates and incubate overnight at 37°C.

10

5. The background packaged mix should be diluted to 1:100 for plating. The *Lambda* Ziplox with cDNA insert should be diluted to 1:100, 1:1000, and 1:10,000. The control *Lambda* should be diluted to 1:100,000 and 1:1,000,000.

#### D. Excision

15

1. Grow DH10BZIP in TYN, 10 mM Mg, 0.2% maltose, 10 µg/ml kanamycin at 37°C.

2. Transfer a plaque from the plate to a sterile 1.5 ml microcentrifuge tube containing 250 µl of phage dilution buffer.

20

a. With a scalpel or razor blade, cut about 5 mm off of a sterile pipette tip, so that the opening approximates the size of the plaque to be transferred.

b. Place the shortened tip onto a P200 Pipetman and carefully aspirate the plaque into the tip.

c. Transfer the plaque into the phage dilution buffer by pipetting up and down.

4. Mix 10 µl of the supernatant from the soaked plaque with 100 µl of DH10BZIP cells in a sterile

-28-

Falcon 2059 tube, and incubate at room temperature for 15-20 minutes.

5. Add 400  $\mu$ l SOC to each tube, and incubate shaking at 37°C for 60 minutes.

5        6. Plate 5-20  $\mu$ l onto a TYN plate containing 0.01% X-gal, 2 mM IPTG, and 0.1 mg/ml ampicillin. This can be done by diluting the 5-20  $\mu$ l into 100  $\mu$ l of SOC before spreading onto the plate.

10       7. Invert the plates and incubate them at 37°C overnight.

The following reagents are used:

15       A. Phage Dilution Buffer (50 mM Tris buffer pH 7.9, 100 mM NaCl, 10 mM MgCl, 0.01% gelatin. This solution should be made up from sterile stocks and sterile water, or it should be made up and then autoclaved.

20       B. TYN media (10 gm Tryptone, 5 gm Yeast extract, 5 gm NaCl, 10 ml 1 M Tris buffer pH 7.2, 225  $\mu$ l 10 N NaOH, water to 1 liter). Autoclave, then add the following different reagents depending on what type of media is required:

25       a. 10 mM Mg  
         Add 100  $\mu$ l of 1M MgCl per 10 ml of media.  
      b. 0.1 mg/ml ampicillin  
         Add 100  $\mu$ l of 10 mg/ml ampicillin per 10 ml of media.

25       c. 10  $\mu$ g/ml kanamycin

30       d. 0.2% maltose  
         Add 100  $\mu$ l of 20% maltose to 10 ml of media.

-29-

- C. TYN plates (10 gm Tryptone, 5 gm Yeast extract, 5 gm NaCl, 10 ml 1M Tris buffer pH 7.2, 225  $\mu$ l 10 N NaOH, 17-20 gm agar. Autoclave, and cool to about 60°C before adding other reagents. Then pour about 20 ml into sterile petri dishes. Let set before storing them at 4°C. The following are different reagents that could be added depending on what type of plates are required.
- 5
- a. 10 mM Mg
- 10 Add 10 ml of sterile 1M MgCl to 1 liter of TYN.
- b. 0.1 mg/ml ampicillin
- Add 10 ml of sterile 10 mg/ml ampicillin to 1 liter of TYN.
- c. 0.01% X-gal
- 15 Add 2.5 ml of 4% X-gal to 1 liter of TYN.
- d. 2 mM IPTG
- Add 20 ml of 100 mM IPTG to 1 liter of TYN.
- D. 0.7% Top Agar (or agarose) (100 ml TYN media, and 0.7 gm agar (or agarose). Autoclave. If using immediately, place in a 60°C water bath to keep from solidifying. If it solidifies, re-melt it in a microwave oven. Be sure to loosen cap. Agarose is sometimes used instead of agar if plaque lifts are desired. There is less chance of the agarose sticking to the filters. If desired, the following may be added to the top agar:
- 20
- a. 10 mM Mg
- Add 10  $\mu$ l of 1M MgCl per ml of top agar.
- b. 0.1 mg/ml ampicillin
- 30 Add 10  $\mu$ l of 10 mg/ml ampicillin per ml of top agar.
- 35
- or ampicillin and adding 10 to 500 ml of distilled water. Mix until in solution. Sterile filter the solution and store at 4°C.

-30-

- F. 1 M  $\text{MgCl}_2$ . Prepared by weighing out 20.33 gm of  $\text{MgCl}_2 \cdot 6\text{H}_2\text{O}$ , and adding it to 100 ml of distilled water. Mix until in solution. Sterile filter or autoclave the solution.
- 5 G. 20% Maltose. Prepared by weighing out 20 gm of maltose and add it to enough distilled water to come to a final volume of 100 ml. Mix until in solution. Autoclave the solution.
- H. 4% X-gal
- 10 1. Mix 1 gm of X-gal with enough dimethylformamide to come to a final volume of 25 ml. Store at  $-20^\circ\text{C}$ .
- I. 100 mM IPTG. Prepared by mixing 1 gm of IPTG with enough sterile distilled water to come to a final volume of 41 ml. Store at  $-20^\circ\text{C}$ . Wrap container in foil to keep light out.
- 15 J. 10 mg/ml kanamycin. Prepared by mixing 0.5 gm of kanamycin with enough sterile distilled water to come to a final volume of 50 ml. Store at  $4^\circ\text{C}$ . Wrap container in foil to keep light out.
- 20 In the above described examples, the following materials were obtained from Life Technologies, Inc.: T4 DNA Ligase and 5X T4 DNA Ligase Buffer (5224SB); *Lambda* Packaging System (8294SA); S.O.C. Medium (5544SA); X-gal (5520UC); and IPTG (5529UA).



-31-

**EXAMPLE 4****CONSTRUCTION OF A CDNA LIBRARY IN  $\lambda$ ZIPLOX  
AND EXPRESSION SCREENING**

5 In order to verify the utility of the  $\lambda$ ZipLox system,  
a HeLa cDNA library was constructed in  $\lambda$ ZipLox that had  
been digested with Not I and Sal I.

E. Coli Y1090R' [ $\Delta$ lacU169 proA<sup>+</sup> hadR<sup>-</sup> hsdM<sup>+</sup>  $\Delta$ lon  
araD139 strA supF[trpC22::Tn10] pMC9 (pMC9 = pBr322-lacI<sup>q</sup>)]  
10 was cured of the resident plasmid, and the resulting  
strain used to plate and screen the HeLa cDNA library. By  
screening the induced library with primary antibody to  
human vimentin, followed by reaction with alkaline  
phosphatase-conjugated secondary antibody, four vimentin-  
positive clones were recovered from approximately 10<sup>5</sup>  
15 plaques. The plasmids from these were excised in vivo,  
and the 3'-terminal regions of the inserts sequenced. The  
sequences of the four clones were identical to each other  
(except for the length of the poly A tail derived from the  
vimentin mRNA) over the 100 bp sequenced. However, the  
20 sequence differed at six positions from the published  
sequence for the 3' terminal region of human vimentin cDNA  
(Ferrari, S. et al., Molec. Cell Biol. 6:3614 (1986)).

The excision process described here has several clear  
advantages over other automatic subcloning techniques.  
25 The excision efficiency (i.e., the conversion of input  
phage particles to colonies) is about 50%. Moreover,  
unlike M13-based systems, the  $\lambda$ ZipLox system does not  
require infection with helper phage, and so is more rapid.  
Selection for the desired excised recombinant is as simple  
30 as infecting the Cre-expressing host cell with the  $\lambda$ ZipLox  
clone of interest, and then selecting for Ap<sup>R</sup> bacterial

phage particles, processes that may cause deletions  
35 in cloned inserts (Michel, B. et al., Proc. Natl. Acad.  
Sci. (U.S.A.) 84:3386 (1986)).

-32-

When plasmid DNA is prepared from clones after excision, the distribution of plasmid between monomer and dimer forms varies. This has practical consequences for those who prefer to screen clones for plasmid insert size by examining supercoiled DNA rather than linearizing or excising the cloned fragment to estimate its size. For the HeLa library, midscreen preparations from 17 randomly-chosen clones showed that two yielded predominantly monomer, while seven yielded predominantly dimer. The remaining clones yielded an approximately equal amount of each form of DNA. This may be a function of the effective level of Cre recombinase at the time of infection, or an indirect effect of the insert itself. The Cre recombinase gene may be placed on a low copy-number replicon to achieve the lowest level of Cre expression consistent with efficient excision.

#### EXAMPLE 5

##### INCOMPATIBILITY BETWEEN pZL AND pZIP

In order to assess the effects of incompatibility between pZL and pZIP, plasmids pSPORT (containing neither loxP sites nor inca elements), pSPORTLoxP (containing a loxP site but no inca element), and pZL (containing, as described above, both loxP sites and inca elements), were introduced into the pZIP-containing E. coli strain DH10BZIP. 100  $\mu$ l of cells and 1  $\mu$ l of plasmid were used for each reaction. After the transformation, the cells were plated on LB medium supplemented with ampicillin (100  $\mu$ g/ml) and methicillin (200  $\mu$ g/ml). Five transformants of each plasmid were selected and cultured in SB medium supplemented with ampicillin (100  $\mu$ g/ml) and

pSPORT-derived plasmids in DH10BZIP. 1 ml of the overnight culture was centrifuged, and plasmid was extracted. The preparation was dissolved in 100  $\mu$ l T(1/10)E

-33-

buffer with RNase. 5  $\mu$ l of each preparation was then digested with ScaI in RE6 buffer, and the restriction pattern was analyzed via electrophoresis (37°C, 30 minutes, 0.9% H-58).

5       The cells of the five colonies of pSPORT / pZip control transformants were all found to contain both of these plasmids. The cells of the five colonies of pSPORT~~LoxP~~ / pZip control transformants were also found to contain both plasmids, however, the yield of pSPORT~~LoxP~~  
10       was much lower (estimated 5-10 fold) than pSPORT. The continued presence of the pZIP plasmid in these cells indicates that, as expected, Cre expression had not been modulated.

15       In contrast, the cells of the five colonies of pZL / pZip transformants were all found to contain only the pZL plasmid; the presence of the inCA locus on the pZL plasmid had successfully eliminated the pZIP plasmid. Moreover, a substantial increase in pZL concentration was observed; the yield of pZIP being higher than the yield of pSPORT.

20       These data indicate that the presence of Cre in the cells (from the pZIP plasmid) had no effect on replication of pSPORT (because pSPORT has no loxP site), but severely inhibited replication of pSPORT~~LoxP~~ (presumably by binding to the loxP site and preventing  
25       passage of the replication complex around the circular molecule (see, Palazzolo, M.J., et al. (Gene 88:25 (1990))). Incompatibility of pZL with pZip resulted in rapid loss of pZip, and thus rapid loss of Cre protein, allowing normal replication. However, many of the pZL  
30       molecules were present as dimers or higher multimers, which may account for the higher yield of pZL than of pSPORT.

35       on medium containing either ampicillin/methicillin or kanamycin. The ability of cells to grow in the presence of ampicillin/methicillin indicates the presence of either

-34-

pSPORT, pSPORT~~LoxP~~, or pZL. The ability of cells to grow in the presence of kanamycin indicates the presence of pZIP. The results of this experiment are shown in Table 1.

5

TABLE 1

	TRANSFORMANT	COLONIES PER PLATE		SUMMARY DATA
		AMP	KAN	
10	pSPORT 1	913	1054	$\Sigma_{amp} = 1,093$
	pSPORT 2	108	107	$\Sigma_{kan} = 1,226$
	pSPORT 3	72	65	
	pSPORT <del>LoxP</del> 1	289	306	$\Sigma_{amp} = 1,495$
	pSPORT <del>LoxP</del> 2	871	936	$\Sigma_{kan} = 1,565$
	pSPORT <del>LoxP</del> 3	335	323	
15	pZL 1	185	0	$\Sigma_{amp} = 922$
	pZL 2	307	0	$\Sigma_{kan} = 0$
	pZL 3	430	0	

As shown in Table 1, all of the pZL transformants were found to have lost the pZIP plasmid. When pZL /pZIP transformants were grown on medium containing both  
20 ampicillin and kanamycin no colonies formed, indicating that dual selection could not "force" the maintenance of the pZIP plasmid in the presence of pZL.

The experiment shown in Table 1 was repeated, except that for the pZL / pZIP transformants, 1, 10, and 100  $\mu$ l  
25 of the undiluted stock of cells were plated. This permitted a determination of whether the transformants contained any kanamycin resistant cells. The results of this experiment are shown in Table 2.

-35-

TABLE 2

	TRANSFORMANT	COLONIES PER PLATE		
		AMP	KAN	SUMMARY DATA
5	pSPORT 1	140	138	$\Sigma_{amp} = 544$
	pSPORT 2	183	191	$\Sigma_{kan} = 496$
	pSPORT 3	221	167	
	pSPORTLoxP 1	222	207	$\Sigma_{amp} = 414$
	pSPORTLoxP 2	61	64	$\Sigma_{kan} = 411$
	pSPORTLoxP 3	131	140	
10	pZL 1	98	0	$\Sigma_{amp} = 979$
	pZL 2	167	0	$\Sigma_{kan} = 0$
	pZL 3	714	0	

After adjustment for the dilution factor, the results indicated that the pSPORT transformants contained  $1.8 \times 10^6$  amp<sup>R</sup> cells / colony, and  $1.65 \times 10^6$  kan<sup>R</sup> cells / colony. Thus, the results indicate that all cells of the colony contain both pSPORT and pZIP. The results also indicated that the pSPORTLoxP transformants contained  $1.38 \times 10^6$  amp<sup>R</sup> cells / colony, and  $1.37 \times 10^6$  kan<sup>R</sup> cells / colony. Thus, the results indicate that all cells of these colonies contain both pSPORTLoxP and pZIP.

In contrast, although the pZL transformants contained  $3.26 \times 10^6$  amp<sup>R</sup> cells / colony, no kan<sup>R</sup> cells were obtained. Thus, the pZL transformant colonies contained less than 10 kan<sup>R</sup> cells / colony (i.e. less than 1 kan<sup>R</sup> cell per  $3 \times 10^5$  amp<sup>R</sup> cells). The results indicate that the presence of the pZL plasmid led to the loss of the pZIP plasmid from the host cell.

In order to further demonstrate the utility of the present invention, the Cre-expressing host cell DH10BZIP was infected with  $\lambda$ ZipLox. After permitting phage adsorption, the cells were plated on medium containing ampicillin (100  $\mu$ g/ml) and methicillin (200  $\mu$ g/ml) and

formed approximately 50% of input  $\lambda$ ZipLox. Colonies of  $\lambda$ ZipLox phages forming plaques, indicating that Cre-mediated excision had occurred after infection in approximately 50% of input  $\lambda$ ZipLox. Colonies of

-36-

ampicillin/methicillin resistant cells were diluted and plated on either medium containing ampicillin or medium containing kanamycin, in the manner described above. The ampicillin resistant colonies contained less than 1 kan<sup>R</sup> cell per 2 x 10<sup>6</sup> amp<sup>R</sup> cells. The results indicate that the presence of the pZL plasmid led to the efficient excision of the pZIP plasmid from λZipLox, and that the presence of the inca elements on pZL successfully rendered the host cell incompatible to pZIP, and caused the loss of the pZIP plasmid.

While the invention has been described in connection with specific embodiments thereof, it will be understood that it is capable of further modifications and this application is intended to cover any variations, uses, or adaptations of the invention following, in general, the principles of the invention and including such departures from the present disclosure as come within known or customary practice within the art to which the invention pertains and as may be applied to the essential features hereinbefore set forth and as follows in the scope of the appended claims.

-37-

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- (i) APPLICANT: LIFE TECHNOLOGIES, INC.
- 5 (ii) TITLE OF INVENTION: MODULATION OF ENZYME  
ACTIVITIES IN THE IN VIVO CLONING OF DNA
- (iii) NUMBER OF SEQUENCES: 8
- (iv) CORRESPONDENCE ADDRESS:
- 10 (A) ADDRESSEE: WEIL, GOTSHAL & MANGES  
(B) STREET: 1615 L STREET, N.W.; SUITE 700  
(C) CITY: WASHINGTON  
(D) STATE: D.C.  
(E) COUNTRY: U.S.A.  
(F) ZIP: 20036
- 15 (v) COMPUTER READABLE FORM:  
(A) MEDIUM TYPE: Floppy disk  
(B) COMPUTER: IBM PC compatible  
(C) OPERATING SYSTEM: PC-DOS/MS-DOS  
(D) SOFTWARE: PatentIn Release #1.0, Ver. #1.25
- 20 (vi) CURRENT APPLICATION DATA:  
(A) APPLICATION NUMBER:  
(B) FILING DATE:  
(C) CLASSIFICATION:
- 25 (viii) ATTORNEY/AGENT INFORMATION:  
(A) NAME: AUERBACH, JEFFREY I  
(B) REGISTRATION NUMBER: 32,680  
(C) REFERENCE/DOCKET NUMBER: 594-105-CIP
- (ix) TELECOMMUNICATION INFORMATION:
- 30 (A) TELEPHONE: (202) 682-7033  
(B) TELEFAX: (202) 859-0939

## (2) INFORMATION FOR SEQ ID NO:1:

- (i) SEQUENCE CHARACTERISTICS:
- 35 (A) LENGTH: 34 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(iii) MOLECULE TYPE: DNA (genomic)

(iv) ANTI-SENSE: NO

-38-

## (vi) ORIGINAL SOURCE:

- (A) ORGANISM: ESCHERICHIA COLI
- (B) STRAIN: DH10B

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

5     ATAACTTCGT ATAATGTATG CTATACGAAG TTAT

34

## (2) INFORMATION FOR SEQ ID NO:2:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 34 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(iii) HYPOTHETICAL: NO

(iv) ANTI-SENSE: NO

## 15     (vi) ORIGINAL SOURCE:

- (A) ORGANISM: ESCHERICHIA COLI
- (B) STRAIN: DH10B

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

ATAACTTCGT ATAGCATACA TTATACGAAG TTAT

34

## 20     (2) INFORMATION FOR SEQ ID NO:3:

## (i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 35 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(iii) HYPOTHETICAL: NO

(iv) ANTI-SENSE: NO

## 30     (vi) ORIGINAL SOURCE:

- (A) ORGANISM: ESCHERICHIA COLI
- (B) STRAIN: DH10B

GAAGAGGTTCCTGCAGGGGCTCATGAGCTTCTAATG



-39-

## (2) INFORMATION FOR SEQ ID NO:4:

## (i) SEQUENCE CHARACTERISTICS:

- 5 (A) LENGTH: 35 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(iii) HYPOTHETICAL: NO

(iv) ANTI-SENSE: NO

## 10 (vi) ORIGINAL SOURCE:

- (A) ORGANISM: ESCHERICHIA COLI  
(B) STRAIN: DH10B

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

GATCCTCTAG ATTATTCGGG GAGTTTCAGC TTTGG

35

## 15 (2) INFORMATION FOR SEQ ID NO:5:

## (i) SEQUENCE CHARACTERISTICS:

- 20 (A) LENGTH: 38 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(iii) HYPOTHETICAL: NO

(iv) ANTI-SENSE: NO

## 25 (vi) ORIGINAL SOURCE:

- (A) ORGANISM: ESCHERICHIA COLI

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

CGAAGAGGTA CTGCAGCAAT CATTTACGCG TTAATGGC

38

## (2) INFORMATION FOR SEQ ID NO:6:

## (i) SEQUENCE CHARACTERISTICS:

- 30 (A) LENGTH: 31 base pairs  
(B) TYPE: nucleic acid

(ii) MOLECULE TYPE: DNA (genomic)

35 (iii) HYPOTHETICAL: NO

-40-

(iv) ANTI-SENSE: NO

(vi) ORIGINAL SOURCE:

(A) ORGANISM: ESCHERICHIA COLI

(B) STRAIN: DH10B

5 (xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

GATCCTCTAG ATAAAGGCAG AGCCGATCCT G

31

(2) INFORMATION FOR SEQ ID NO:7:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 57 base pairs

10 (B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(iii) HYPOTHETICAL: NO

15 (iv) ANTI-SENSE: NO

(vi) ORIGINAL SOURCE:

(A) ORGANISM: ESCHERICHIA COLI

(B) STRAIN: DH10B

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:

20 CCATAACTTC GTATAATGTA TGCTATACGA AGTTATGGAA ACAGCTATGA  
CCATGAT 57

(2) INFORMATION FOR SEQ ID NO:8:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 57 base pairs

25 (B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(iii) HYPOTHETICAL: NO

30 (iv) ANTI-SENSE: NO

(vi) ORIGINAL SOURCE:

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:

35 CCATAACTTC GTATAGCATA CATTATACGA AGTTATGTCA CGACGTTGTA  
AAACGAC 57

-41-

WHAT IS CLAIMED IS:

1. A method for accomplishing in vivo alteration of a target molecule in a host cell which comprises the steps:

- 5           a) providing to said host cell a first vector, said vector containing (i) a preselected gene and (ii) a replicon, wherein said preselected gene is capable of being expressed in said host cell, and said replicon is sufficient to permit the replication of said vector;
- 10           b) providing to said host cell a second vector, said vector containing (i) said target molecule which is to be altered, (ii) a replicon, and (iii) a determinant that has the capacity to inhibit the expression of said preselected gene of said first vector;
- 15           c) culturing said host cell under conditions sufficient to permit the expression of said preselected gene, to thereby mediate the desired alteration of said target molecule; wherein said second vector inhibits said expression, and thereby results in the modulation of the
- 20           expression of said preselected gene.

2. The method of claim 1, wherein said inhibition is reversibly modulatable.

3. The method of claim 1, wherein said inhibition is irreversibly modulatable.

- 25           4. The method of claim 1, wherein said determinant of said second vector inhibits the expression of said preselected gene of said first vector by expressing a gene present on said second vector.

30           5. The method of claim 1, wherein said second vector effects the excision of said preselected gene from said first vector.

-42-

6. The method of claim 1, wherein said determinant of said second vector inhibits the expression of said preselected gene of said first vector by comprising an incompatibility determinant sufficient to inhibit the replication of said first vector, and thereby causing the loss of said vector from the said host cell.

7. The method of claim 6, wherein said replicon of said first vector is a P1 replicon, and wherein said incompatibility determinant comprises an *inca* regulatory element.

8. The method of claim 7, wherein said P1 replicon of said first vector lacks at least one naturally present *inca* regulatory element.

9. The method of claim 1, wherein said alteration is a recombinational alteration, and wherein said preselected gene is a recombinase gene, and said target molecule possesses at least one site recognized by said recombinase.

10. The method of claim 9, wherein said recombinase is Cre, and wherein said site is a loxP site.

11. The method of claim 6, wherein said alteration is a recombinational alteration, and wherein said preselected gene is a cre recombinase gene, and said target molecule possesses at least one loxP site.

12. The method of claim 7, wherein said alteration is a recombinational alteration, and wherein said preselected

\*\*\*\*\*

13. The method of claim 8, wherein said alteration is a recombinational alteration, and wherein said preselected

-43-

gene is a cre recombinase gene, and said target molecule possesses at least one loxP site.

14. A host cell containing a first and a second vector, wherein said first vector contains (i) a preselected gene and (ii) a replicon, wherein said preselected gene is capable of being expressed in said host cell, and said replicon is sufficient to permit the replication of said vector; and wherein said second vector contains (i) a target molecule, (ii) a replicon, and (iii) a determinant that has the capacity to inhibit the expression of said preselected gene of said first vector.

15. The host cell of claim 14, wherein said determinant of said second vector inhibits the expression of said preselected gene of said first vector by comprising an incompatibility determinant sufficient to inhibit the replication of said first vector.

16. The host cell of claim 15, wherein said replicon of said first vector is a P1 replicon, and wherein said incompatibility determinant comprises an incA regulatory element.

17. The host cell of claim 16, wherein said P1 replicon of said first vector lacks at least one naturally present incA regulatory elements.

18. The host cell of claim 15, wherein said preselected gene is a cre recombinase gene, and said target molecule possesses at least one loxP site.

preselected gene is a cre recombinase gene, and said target molecule possesses at least one loxP site.

-44-

20. The host cell of claim 17, wherein said preselected gene is a cre recombinase gene, and said target molecule possesses at least one loxP site.

5 21. A kit, being specially adapted to contain in close compartmentalization:

a) a first container which contains a first vector which contains a replicon and a preselected gene, said vector being capable of expressing said preselected gene in a host cell;

10 b) a second container, said container containing a second vector, said vector having a determinant that has the capacity to inhibit the expression of said preselected gene of said first vector, and being specially adapted to facilitate the introduction of a desired target molecule.

15 22. The kit of claim 21, wherein said determinant of said second vector inhibits the expression of said preselected gene of said first vector by comprising an incompatibility determinant sufficient to inhibit the replication of said first vector, and thereby causing the  
20 loss of said vector from the said host cell.

23. The kit of claim 22, wherein said replicon of said first vector is a P1 replicon, and wherein said incompatibility determinant comprises an *inca* regulatory element.

25 24. The kit of claim 23, wherein said P1 replicon of said first vector lacks at least one naturally present *inca* regulatory elements.

30 possesses at least one loxP site.

-45-

26. The kit of claim 23, wherein said preselected gene is a cre recombinase gene, and said target molecule possesses at least one loxP site.

5 27. The kit of claim 24, wherein said preselected gene is a cre recombinase gene, and said target molecule possesses at least one loxP site.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/00108

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(5) : C12N 15/00, 1/20; C12P 21/06; C07H 21/04

US CL : 435/69.1, 172.3, 252.3, 321.1; 356/23.2, 24.1, 24.2

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/69.1, 172.3, 252.3, 321.1; 356/23.2, 24.1, 24.2

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Dialog, apa

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	J. Mol. Biol., Vol. 192, issued 1986, Subrata K. Pal et al.: "P1 Plasmid Replication; Role of Initiator Titration in Copy Number Control", pages 275-285, see whole article.	1-27
A	Gene, Vol. 88, issued 1990, Michael J. Palazzolo et al., "Phage lambda cDNA cloning vectors for subtractive hybridization, fusion-protein synthesis and Cre-loxP automatic plasmid subcloning", pages 25-36, see whole article.	1-27
Y	Mol. Cellular Biol. Vol. 7 (6), issued June 1987, Brian Sauer, "Functional Expression of the cre-lox site-specific recombination system in the yeast Saccharomyces cerevisiae", pages 2087-2096, see whole article.	1-27
A	PNAS (USA), Vol. 81, issued October 1984, Dhruba Chattoraj et al., "Plasmid P1 replication: Negative control by repeated DNA sequences", pages 6456-6460, see whole article.	1-27

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be part of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, each combination being considered as a whole
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another claim or other special reason (as specified)	

Date of the actual completion of the international search

18 FEBRUARY 1993

Date of mailing of the international search report

31 MAR 1993

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Authorized officer

GARY L. BROWN

Facsimile No. NOT APPLICABLE

Telephone No. (703) 308-0196



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/00108

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	PNAS (USA), Vol. 88, issued October 1991, Ann L. Abeles et al., "Antiparallel plasmid-plasmid pairing may control P1 plasmid replication", pages 9011-9015, see whole article.	1-27